



UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

MARCH 20, 1997

PTAS



\*100337871A\*

HOFFMANN-LA ROCHE INC.  
GEORGE W. JOHNSTON  
PATENT LAW DEPARTMENT  
340 KINGSLAND STREET  
NUTLEY, NJ 07110

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/10/1997

REEL/FRAME: 8299/0204  
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
BINGGELI, ALFRED /

DOC DATE: 10/21/1996

ASSIGNOR:  
BREU, VOLKER /

DOC DATE: 10/21/1996

ASSIGNOR:  
BUR, DANIEL /

DOC DATE: 10/21/1996

ASSIGNOR:  
FISCHLI, WALTER /

DOC DATE: 10/21/1996

ASSIGNOR:  
GULLER, ROLF /

DOC DATE: 10/21/1996

ASSIGNOR:  
HIRTH, GEORGES /

DOC DATE: 10/21/1996

ASSIGNOR:  
MARKI, HANS-PETER /

DOC DATE: 10/21/1996

MAR 26 1997

ASSIGNOR:  
MULLER, MARCEL /

DOC DATE: 10/21/1996

ASSIGNOR:  
OEFNER, CHRISTIAN /

DOC DATE: 10/21/1996

ASSIGNOR:  
STADLER, HEINZ /

DOC DATE: 10/21/1996

ASSIGNOR:  
VIEIRA, ERIC /

DOC DATE: 10/21/1996

ASSIGNOR:  
WILHELM, MAURICE /

DOC DATE: 10/21/1996

ASSIGNOR:  
WOSTL, WOLFGANG /

DOC DATE: 10/21/1996

ASSIGNEE:  
F.HOFFMANN-LA ROCHE AG /  
A SWISS COMPANY  
124 GRENZACHERSTRASSE  
CH-4070 BASLE, SWITZERLAND

SERIAL NUMBER: 08711339  
PATENT NUMBER:

FILING DATE: 09/06/1996 /  
ISSUE DATE:

SHIRLIE SIMON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

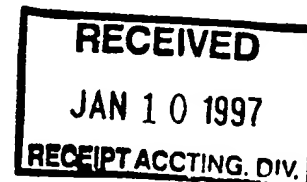
01-16-1997

ER SHEET



100337871

LY



RAN 4019/135

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

- 1) ALFRED BINGGELI
- 2) VOLKER BREU
- 3) DANIEL BUR
- 4) WALTER FISCHLI
- 5) ROLF GULLER
- 6) GEORGES HIRTH
- 7) HANS-PETER MARKI
- 8) MARCEL MULLER
- 9) CHRISTIAN OEFNER
- 10) HEINZ STADLER
- 11) ERIC VIEIRA
- 12) MAURICE WILHELM
- 13) WOLFGANG WOSTL

2. Name and address of receiving party(ies):

Name: F. HOFFMANN-LA ROCHE AG

Internal Address: A SWISS COMPANY

Additional name(s) of conveying party(ies) attached? Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ Other

Street Address: 124 GRENZACHERSTRASSE,

CH-4070

Country: BASLE, SWITZERLAND

Execution Date: OCTOBER 21, 1996

Additional name(s) & address(es) attached:  
Yes ☐ No ☒

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

USSN: 08/711,339

FILED: SEPTEMBER 6, 1996

Additional numbers attached? ☐ Yes ☒ No

420 VH 08-2525 01/15/97 08711339  
42045 581 40.00CH

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE W. JOHNSTON

Internal Address:

HOFFMANN-LA ROCHE INC.

PATENT LAW DEPARTMENT

Street address: 340 KINGSLAND STREET

City: NUTLEY State: NJ Zip: 07110

6. Total number of applications and patents involved: ONE

7. Total fee (37 CFR 3.41).....\$ 40.00

☒ Authorized to be charged to deposit account

☒ Authorized any deficiencies to be charged to deposit account

8. Deposit account number:

08-2525

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

BRUCE A. POKRAS

Name of Person Signing

*Bruce A. Pokras*

Signature

January 6, 1997

Date

CONTACT: Miss Nell Danzi  
TELEPHONE: (201) 235-2447  
FAX: (201) 235-2363

Total number of pages including cover sheet, attachments and document: 3

# Assignment

WHEREAS, I/WE

Alfred **Binggeli**, Volker **Breu**, Daniel **Bur**, Walter **Fischli**, Rolf **Güller**, Georges **Hirth**,  
Hans-Peter **Märki**, Marcel **Müller**, Christian **Oefner**, Heinz **Stadler**, Eric **Vieira**,  
Maurice **Wilhelm**, Wolfgang **Wostl**

the ASSIGNOR(S), have invented certain new and useful improvements in

**October 21, 1996**

which are described and claimed in application for United States Letters Patent in respect of which a Declaration and Power of Attorney was executed on

## **NOVEL PIPERIDINE DERIVATIVES HAVING RENIN INHIBITING ACTIVITY**

which application is based upon the following foreign application(s)

in **Switzerland** on **September 7, 1995** under No. **2548/95**

and in **Switzerland** on **July 26, 1996** under No. **1876/96**

NOW, THEREFOR, for and in consideration of the sum of One Dollar (\$1.00) and other good and valuable considerations to me (us) in hand paid, the receipt and sufficiency whereof are hereby acknowledged, I/we have sold, assigned and set over, and by these presents do hereby sell, assign and set over unto

**F.HOFFMANN-LA ROCHE AG**, a Swiss Company  
of 124 Grenzacherstrasse, CH-4070 Basle (Switzerland) the Assignee


and the said Assignee's legal representatives, successors and assigns, the entire right, title and interest, in and to the said invention within the United States of America and its territories and possessions, and in and to said application and the Letters Patent of the United States of America that may be granted therefor, together with the right to extend the protection thereof to the various territories and possessions now owned or which may be acquired hereafter by the United States of America; and I/we do hereby request and authorize the Commissioner of Patents to issue said Letters Patent to the above-mentioned Assignee agreeably with the terms of this assignment.

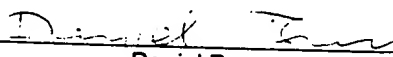
UPON SAID CONSIDERATION, I/we do hereby covenant and agree with the said Assignee that I/we will not execute any writing or do any act whatsoever conflicting with these presents, and that I/we will at any time upon request without further or additional consideration, but at the expense of the said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, reissued, or extended Letters Patent of the United States of America on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, including but not limited to, giving testimony in any proceedings or transactions involving such applications or patents, and executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind and inure to the benefit of the assigns and legal representatives of all parties hereto.

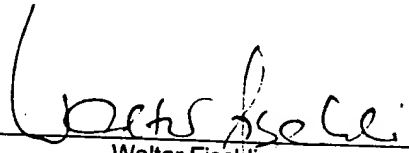
Signatures Overleaf

IN WITNESS WHEREOF, this Assignment has been executed by the Assignor(s) at Basle, Switzerland, on  
October 21, 1996

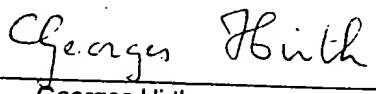
  
Alfred Binggeli

  
Volker Breu

  
Daniel Bur

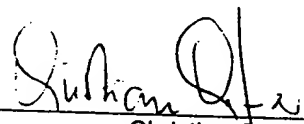
  
Walter Fischli

  
Rolf Guller

  
Georges Hirth

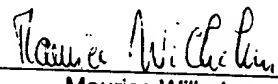
  
Hans-Peter Märki

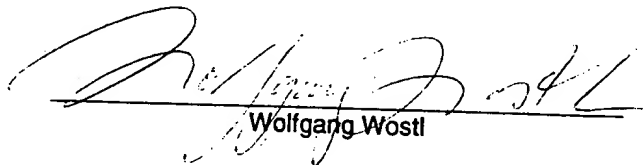
  
Marcel Müller

  
Christian Oefner

  
Heinz Stadler

  
Eric Vieira

  
Maurice Wilhelm

  
Wolfgang Wöstl

S/N 08/711,339  
F 09/06/96

# Assignment

We,

**F.HOFFMANN-LA ROCHE AG** (Assignor), a Swiss Company  
of 124 Grenzacherstrasse, CH-4070 Basle (Switzerland)

hereby assign to

**HOFFMANN-LA ROCHE INC.** (Assignee)

a corporation organized and existing under and by virtue of the laws of the State of New Jersey, and having its principal place of business at Nutley, New Jersey, U.S.A.,

all of our right, title and interest within the United States of America and its territorial possessions in and to the invention described and claimed in a patent application entitled

## **NOVEL PIPERIDINE DERIVATIVES HAVING RENIN INHIBITING ACTIVITY**

which is about to be filed in the U.S. Patent Office in the name(s) of the inventor(s)

Alfred Binggeli, Volker Breu, Daniel Bur, Walter Fischli, Rolf Güller, Georges Hirth,  
Hans-Peter Märki, Marcel Müller, Christian Oefner, Heinz Stadler, Eric Vieira,  
Maurice Wilhelm, Wolfgang Wostl

and in respect of which a declaration and Power of Attorney was executed on:

**OCTOBER 21, 1996**

and in and to said patent application and Letters Patent that may issue thereon.

Said U.S. Patent Application is based upon the following foreign application(s):

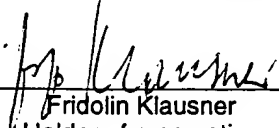
in Switzerland on September 7, 1995 under No. 2548/95

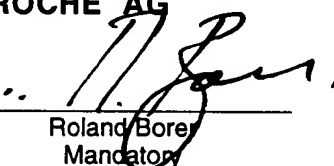
and in Switzerland on July 26, 1996 under No. 1876/96

and was assigned to F.HOFFMANN-LA ROCHE AG, Basle, Switzerland, by the said inventor(s) by an assignment dated October 21, 1996

Basle, Switzerland, this 24th day of October 1996

**F.HOFFMANN-LA ROCHE AG**

  
Fridolin Klausner  
Holder of procuration

  
Roland Borel  
Mandator

Patent Office Stamp

Your ASSIGNMENT TO BE RECORDED **RECEIVED**  
Date January 6, 1997 **JAN 10 1997**  
Ser. No. 08/711,339 **RECEIPT ACTING DIV.**  
Filed 09/06/96

Binggeli et al. to F.Hoffmann-La Roche AG  
NOVEL PIPERIDINE DERIVATIVES HAVING  
RENIN INHIBITING ACTIVITY

has been received in this office.

BAP/jc

FU: 01/16

Commissioner of Patents and Trademarks

⑦ 309 #210 01/17/97 1 UA P&DC 220 23 17 #2



STAGE  
NECESSARY  
IF MAILED  
IN THE  
UNITED STATES

**Business Reply Mail**

First Class Permit No. 1185 Newark, New Jersey

Postage Will Be Paid by Addressee



**Hoffmann-La Roche**

A Member of the Roche Group

Hoffmann-La Roche Inc.  
Patent Law Department  
340 Kingsland Street  
Nutley, New Jersey 07110-9849

**JAN 22 1997**

